



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Timothy P. Tully, Roderick E.M. Scott and Rusiko Bourtchouladze

Application No.: 10/527,950 Group Art Unit: 1647

Filed: September 30, 2005 Examiner: Not Yet Assigned

Confirmation No.: 1059

For: Screening Methods for Cognitive Enhancers

| | |
|---|---------------------|
| CERTIFICATE OF MAILING OR TRANSMISSION | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: | |
| February 17, 2006 | <i>Jenine Crump</i> |
| Date | Signature |
| <i>Jenine Crump</i> | |
| Typed or printed name of person signing certificate | |

TRANSMITTAL OF SMALL ENTITY STATEMENT, POWER OF ATTORNEY AND
CORRESPONDENCE ADDRESS INDICATION FORM AND STATEMENT UNDER 37
C.F.R. §3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement, Power of Attorney and Correspondence Address Indication Form and Statement under 37 C.F.R. §3.73(b) in the above-identified patent application.

A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By *Anne J. Collins*

Anne J. Collins

Registration No.: 40,564

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: February 17, 2006

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-SMALL BUSINESS CONCERN

DOCKET NUMBER: 2826.1000-005



Applicant or Patentee: Timothy P. Tully, Roderick E.M. Scott and Rusiko Bourchouladze
Application or Patent No.: 10/527,950
371(c) Date: September 30, 2005
Title: SCREENING METHODS FOR COGNITIVE ENHANCERS

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Helicon Therapeutics, Inc.
ADDRESS OF SMALL BUSINESS CONCERN One Bioscience Park Drive
Farmingdale, New York 11735

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416 or you may call 202-205-6618.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each person, concern or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1), or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2), or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING John F. TALLMAN
TITLE OF PERSON IF OTHER THAN OWNER PRESIDENT HELICON Therapeutics
ADDRESS OF PERSON SIGNING 1 Bioscience Drive Farmingdale Ny
SIGNATURE [Signature] DATE 1/10/06